



**INTERNATIONAL POWERED  
ACCESS FEDERATION**

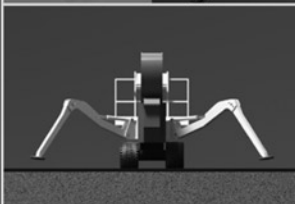




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# Preventing Operator Entrapment

Chris Wraith – IPAF Technical Officer



# Preventing Operator Entrapment



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An overview of the latest thinking from manufacturers, safety authorities and rental companies.

- Facts
- Problem
- Causation
- Solutions



# Facts



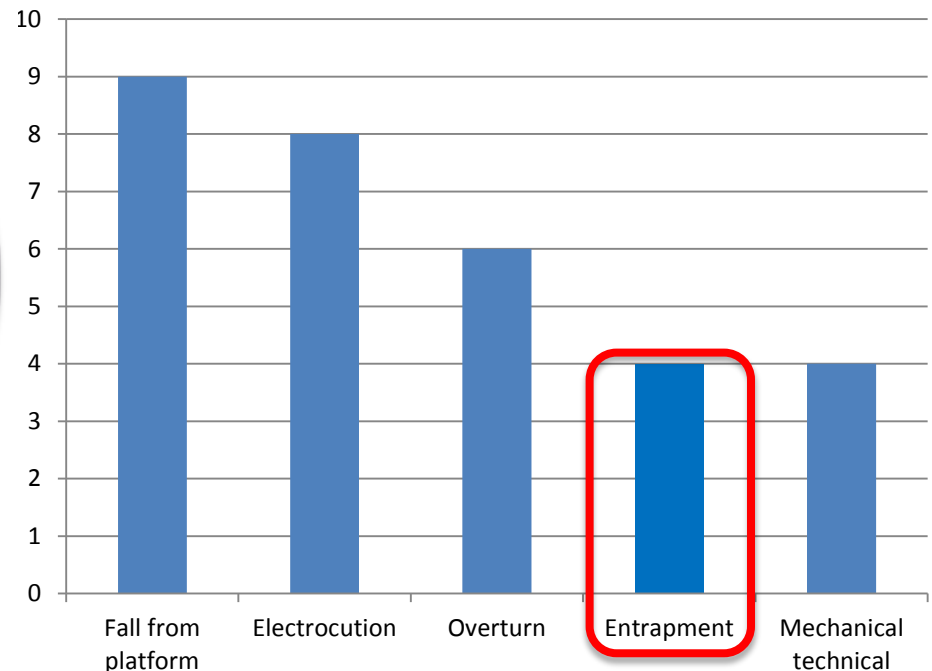
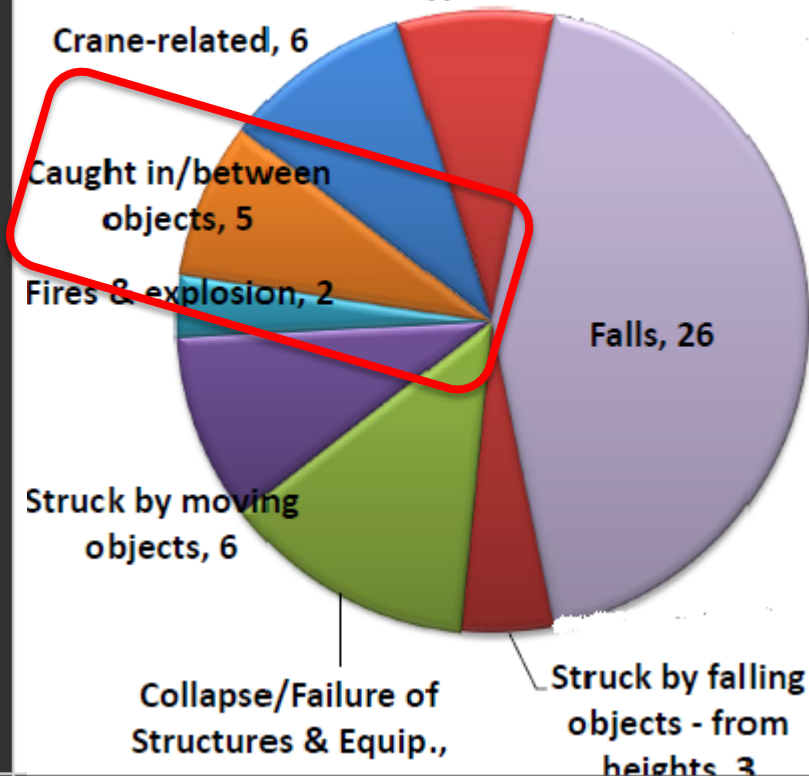
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**Falls – 251 (35%) Struck by Object – 73 (10%)**

**Electrocutions – 67 (9%) Caught-in/between - 19 (3%)**

Other incident  
types , 5

## IPAF Data fatal AWP incidents 2012



# The problem



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Bigger machines , more machines, more complicated structures

**The risk of being crushed against fixtures or other obstacles while accessing the work area, or while working at height.**



# The risk is everywhere



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Elevating

Reversing  
Slewing

# Causation



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## Best Practice Guidance for AWP

### Avoiding Trapping / Crushing Injuries to People in the Platform



Strategic Forum for Construction  
Plant Safety Group  
US Edition – Prepared by the  
International Powered Access Federation

Ref. IPAF UST1

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The document was first published for the UK-based Strategic Forum for Construction – Plant Safety Group. The following UK organisations contributed to the document: the British Constructional Steelwork Association, the Engineering Contractors Association, Construction Skills, the Construction Plant-hire Association, Equipment Training (FASET), the Health & Safety Executive (HSE), the International Powered Access Federation (IPAF), the National Construction College (NCC), UCATT and the UK Contractors Group (UKCG).



#### The Trapping/Crushing Risk

AWPs are acknowledged to be the safest and most efficient means of providing temporary access at height for many work activities.

In some work situations, however, AWP operators, particularly of boom-type AWP, have been trapped/crushed between the AWP platform/basket and an overhead obstruction. This has resulted in a significant number of serious accidents, including several deaths, in the recent years. In some of these accidents, the operator's body was trapped/crushed over the control panel, trapping the controls in the "on position" and making the crushing worse.

This Best Practice Guidance has been produced by the Strategic Forum for Construction Plant Safety Group. The risk is detailed on page 29.



AWP close to an overhead obstruction. See page 29.

- Poor MEWP route planning
- Poor MEWP selection
- Insufficient MEWP familiarisation
- Uneven ground
- Poor visibility at height
- Distractions when operating MEWP
- Objects placed on the control panel
- High drive speeds, or lack of care...
- Overriding MEWP controls
- Using faulty or poorly maintained MEWPs

# Operators responsibility



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## 10 Ways to Reduce the Risk

Working close to overhead structures should only be carried out if you are expected to carry out this sort of work and the risks have been properly addressed, and that management trapping/crushing risks in a pre-start briefing. *If in doubt, ask!*

### ✓ Plan the AWP route

#### a) Keep a sensible distance from obstruction

The route taken by the AWP should ideally be kept a **sensible distance** between the AWP and the obstruction. This distance will need to be greater than the AWP being driven at height to allow for the platform and "see-saw" effects.

#### b) Avoid the drive / elevate / slew controls

If working close to an overhead obstruction is where possible, only the fine-positioning controls should be used. The AWP is close to the obstruction the "coarse" controls should be avoided.

Movements should always be slow, deliberate and achieved by careful use of the AWP's proportional controls.

The sequence of control use given below is recommended:

- WHEN ELEVATING
1. Drive
  2. Elevate
  3. Slew
  4. Telescope
  5. Fine Control

#### c) Driving at height should be the last resort

Driving a boom-type AWP at height should only be used for positioning the platform close to an overhead obstruction. Avoid movements that make fine adjustment of the platform.

If driving at height is considered the least risk option, speeds (this is of particular relevance at lower heights) should be controlled.

### ✓ Select AWP carefully

It is important to ensure the AWP selected is **suitable for the specific maneuver to be carried out if working close to an overhead obstruction**.

- Particular attention should be given to:
  - **Reach of machine** - Ideally, it is best to use a machine with a "working envelope" that covers the area of work.
  - **Clearance** - ensure AWP and platform clearance from overhead obstructions. The machine must be operated with sufficient clearance.

### ✓ Ensure familiarization

It is essential that appropriately trained personnel are familiar with the AWP they plan to use, conducted in a safe manner.

In addition to familiarity with the normal controls, operators should be familiar with the emergency controls for each operator to fully understand the AWP's capabilities.

- **Emergency Descent Controls** - these controls should be used if the AWP is under power and auxiliary modes have been activated.
- **"Dead Man" Controls** (e.g. foot pedal) - these controls should be used if the foot pedal and re-insert in a similar manner.
- **Operating Past the 90 Degree Position** - AWP is slewed past the 90 degree position.

Personnel on the ground, who are competent, should undergo familiarization with the emergency controls at regular intervals.

### ✓ Ensure good ground conditions

Ground conditions should be suitable for the machine. The ground should be level and compacted with no obstructions.

All trenches, column bases and pits should be covered and protected.

If ground conditions are poor, do not operate the AWP.

### ✓ Ensure good visibility

When working inside the building, and at night, **adequate lighting** should be provided.

### ✓ Minimize distractions

Distractions in the platform/basket, such as mobile phones, should be strongly discouraged. Loose materials on the AWP should be prohibited and should be secured in approved containers and/or using approved methods of attachments.

Distractions on the ground (people or objects) should be removed before operating and excluded from the work area.

### ✓ Do not obstruct AWP controls

Basket controls: basket/platform hand and foot controls which could obstruct the controls should be stored in approved containers and/or using approved methods of attachments.

Once in position, consider isolating the power to the AWP to avoid accidental operation.

**Emergency lowering controls:** these controls should be used if the AWP is under power and auxiliary modes have been activated. They should not be obstructed by objects on the ground or emergency controls facing the wall.

### ✓ Slow down, don't crowd and look!

- Slow drive speeds should be used, particularly when working close to an overhead obstruction.
- Crouching over the controls significantly increases the risk of losing control.
- Scan the area for obstructions both before and during the maneuver.
- Do not lean over the guard rails while operating the AWP.

### ✓ Do not override the AWP's safety controls

- Check that the AWP has a current annual inspection certificate.
- Always perform daily checks.
- Report all faults.
- Any faults must be rectified before using the AWP.
- Do not override the controls.

## WPs -

### ✓ Rehearse rescue procedure

The following points should have been considered before using the AWP. In extreme cases, and/or where an operation involves repeatedly working close to an obstruction, an observed "dry run" could be appropriate, to look for potential entrapment risks that could result in a rescue being required.

- **Ensure ground key available:** The ground key for the AWP should ideally be left in the base unit where this is practicable, or at least quickly available at ground level if not.
- **Appoint a ground rescue person:** While the AWP operation is taking place at least one (and as many as is appropriate) designated ground rescue person should be appointed who knows the rescue procedure and has been familiarized with the AWP being used (including emergency rescue controls). They should always be readily available in the event of an emergency.
- **Consider how to raise the alarm:** A system must be in place to identify that an operator may have become trapped, particularly for lone workers working close to an overhead structure. This needs very careful consideration if the operator cannot be seen from the ground. Operators must take advice if such a system has not been put in place when a risk of entrapment is present.
- **Decide who should effect the rescue and how:** This depends on the complexity of the operation and therefore the relative risk of effecting a rescue from the ground compared to the risk of an operator, possibly in a state of panic, trying to rescue himself. It also depends on how the controls for the specific AWP being used function.

The order of priority should be:

1. **Operator:** the operator, or other competent people in the basket, should try to rescue themselves by re-tracing the steps they took in reverse order.
2. **Ground staff:** if visibility and understanding of situation from the ground are good, ground staff should effect a rescue using the ground controls in the following order:
  - **auxiliary power** at first which gives the slowest and most controlled maneuver of the boom until it is obvious that the basket is clear of any obstructions at height.
  - **powered descent:** once clear of obstructions, it is then recommended to switch to powered descent to maximize the speed of recovery.
3. **Another AWP:** In some situations the use of another AWP to gain access to the platform may be the safest option. This will only be acceptable if such rescue has been planned and includes means of transferring between platforms which prevents anyone falling.



# Managers responsibility

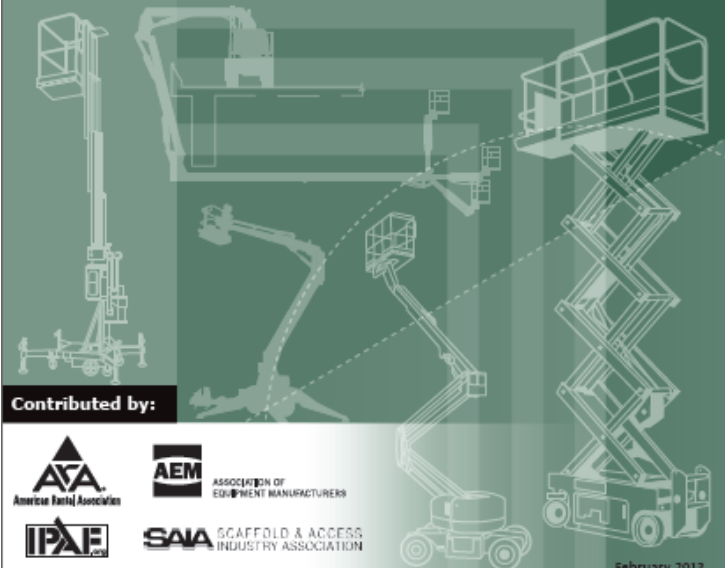


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## Part 1 :Guidance for Planners, Managers and Training Bodies

1. Purpose of Part 1
2. Typical hazards, causal factors and control measures
3. Planning
  - 3.1 Method of work
  - 3.2 Risk assessment
  - 3.3 AWP selection
  - 3.4 Safe system of work
  - 3.5 Emergency plan and drills
  - 3.6 Co-ordination with other activities and preparation of work areas

## Statement of Best Practices for Workplace Risk Assessment and Aerial Work Platform Equipment Selection



03-13-AWP-SEP003

# Managers responsibility



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4. Supervision and monitoring
5. Competency and training
  - 5.1 Competency
  - 5.2 Training
  - 5.3 Records

**Statement of Best Practices for Managers and Supervisors**

Do you manage people who operate aerial work platforms?

Financial and legal penalties are getting harsher: as a manager the buck stops with you.

[www.awpt.org](http://www.awpt.org)

**AWPs for Managers**

The essential course for managers and supervisors

If your company uses aerial work platforms, this course will explain what your responsibilities are – and what the regulations expect you and your workers to do.

Contributed by AVA America Aerial Association and IPAF The world authority in powered access

February 2013

03-13-AWP-26F003

# Managers responsibility



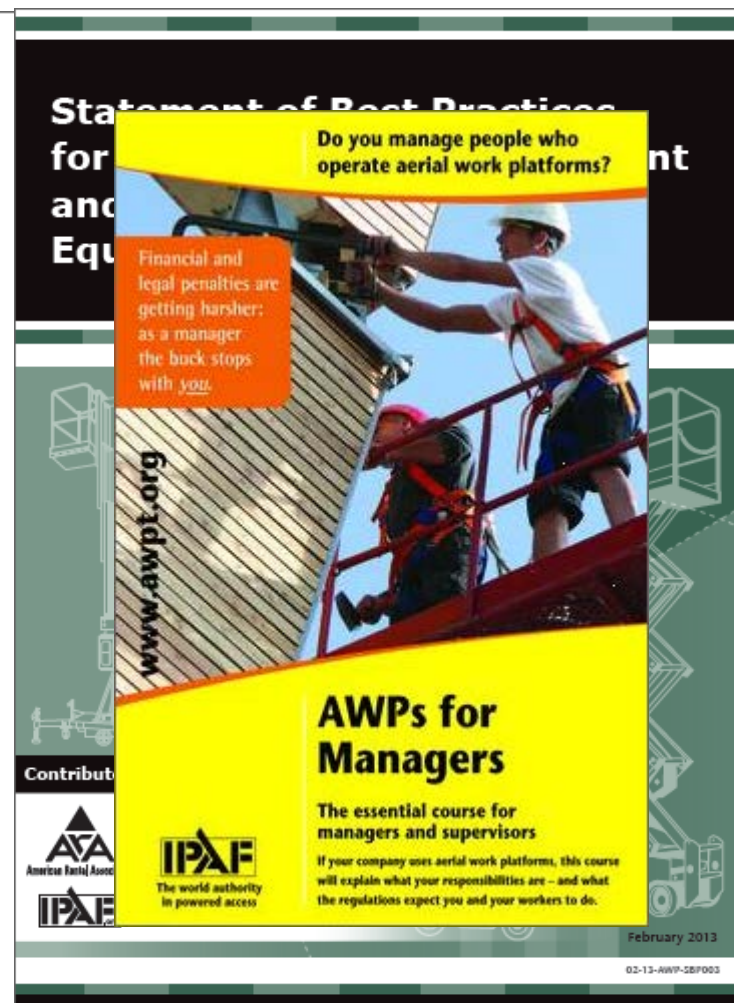
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  - 5.2 Training
  - 5.3 Records
6. Fitting additional devices or equipment on AWP's

### Annexes

1. Hazards, Causal Factors and Control Measures - Travelling to and from the work area
2. Hazards, Causal Factors and Control Measures - Accessing the work area and working at height
3. Important Factors in Planning
4. Important Factors when Selecting a AWP
5. Emergency Descent Decal
6. AWP Categories
7. Emergency procedures



# Manufacturers responsibility



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AMERICAN NATIONAL STANDARD

ANSI/SIA A92.5 - 2006

for  
**Boom - Supported  
Elevating Work Platforms**



American National Standards Institute  
11 West 42nd Street  
New York, New York 10036



**CAN3-B354.4-M82  
Boom-Type Elevating Work  
Platforms**  
A National Standard of  
Canada

BRITISH STANDARD

Mobile elevating work  
platforms — Design  
calculations — Stability  
criteria —  
Construction —  
Safety — Examinations  
and tests

BS EN  
280:2001  
Incorporating  
Amendment No. 1

ICS 53.020.99  
J 80



中华人民共和国国家标准

GB 25849—2010

移动式升降工作平台  
设计计算、安全要求和测试方法

Mobile elevating work platforms—  
Design calculations, safety requirements and test methods

(ISO 16368:2003, MOD)

**Safe by design – when used as intended**

**Primary guarding systems built in**

BRITISH STANDARD

Mobile elevating work  
platforms — Design  
calculations, safety  
requirements and test  
methods

BS ISO  
16368:2003

AS/NZS 1418.10:2011

Australian/New Zealand Standard™

Cranes, hoists and winches

Part 10: Mobile elevating work  
platforms





# Anti-~~e~~ment solutions???



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Protective structure  
Pressure sensing and  
audible technology

Standard  
Additional equipment



## Secondary guarding solutions

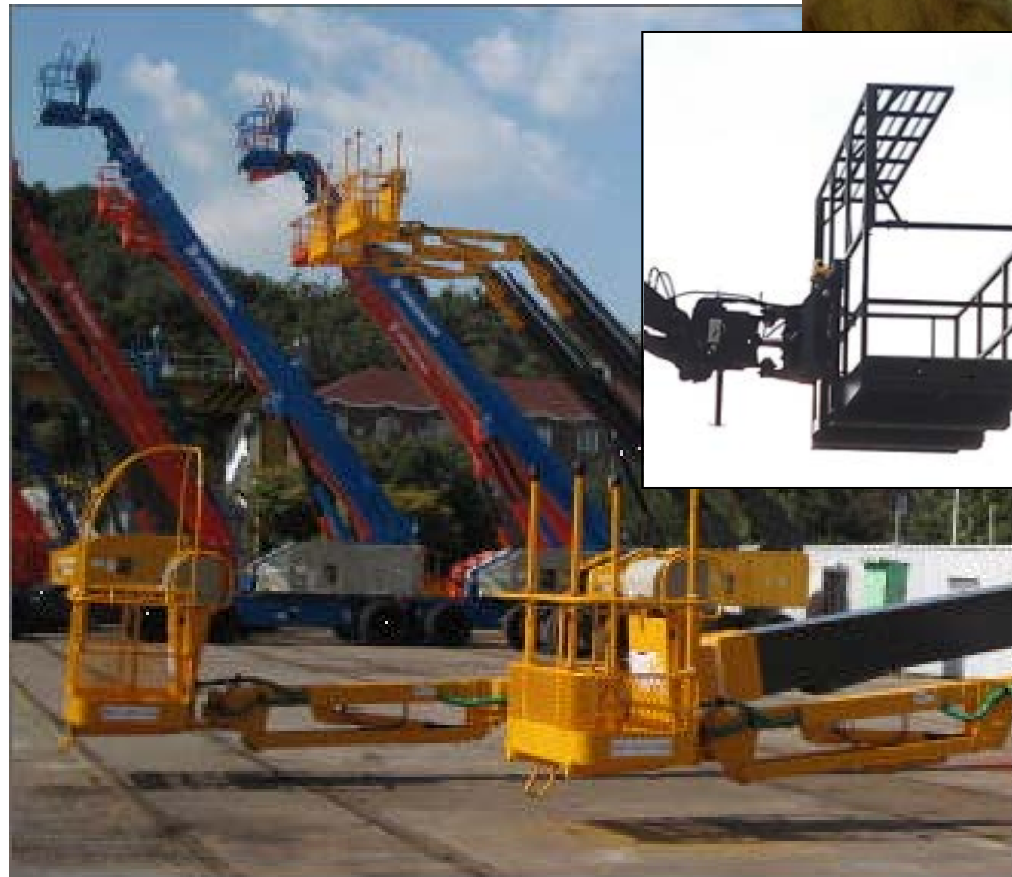




# No one simple solution



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# Further guidance and word of caution



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Ref. UST3 07/12-001

## Guidance on AWP selection where the risk of sustained involuntary operation of controls and/or overhead entrapment is identified



### 1.0 Introduction

The use of aerial work platforms (AWPs) is the safer than using ladders significantly reduce the risk of falls. Unfortunately, accidents involving AWP incidents, some have involved near obstructions. These incidents highlight the need for selection of the appropriate AWP.

### 2.0 Means of prevention

Currently there is no single solution to the combined efforts of operators, manufacturers, and rental companies.

- Improve operator training
- Improve manufacturer design
- Clarify supplier information
- Continue AWP research

### 3.0 Existing Guidance

IPAF has worked closely with the UK Health and Safety Commission to develop guidance on 'Avoiding the risk of sustained involuntary operation of controls and/or overhead entrapment'. Published in July 2010, the guidance recommended that the SPG be converted for use in the UK. The guidance is available on the IPAF website at [www.ipaf.org](http://www.ipaf.org).

### 4.0 Available equipment

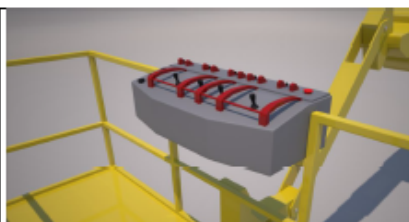
This document is intended to provide guidance on the selection of AWP equipment, when considering sustained involuntary operation of controls and/or overhead entrapment. It is not intended to replace the selection of AWP and platform design. Device selection should be based on the following factors:

- Travelling to and from the work area
- Accessing the work area
- Working at height
- Emergency rescue

The pictorial examples in this document show a variety of boom type AWP application to scissor lifts (3).

Please note: Inclusion or exclusion of a device simply reflects those designs that are in no particular order or

Item 1  
Local control surround stand-ups



Item 2  
Control well and front stand-off bar – with or without ergonomically designed pressure sensing feature



Item 3  
Stand-off bar (above controls)



Item 4  
Control panel with shroud



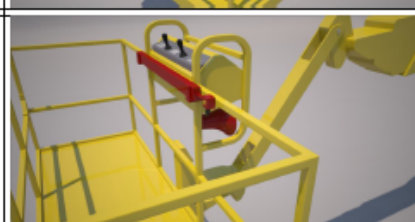
Item 5  
Physical platform cage



Item 6  
Side protection bars



Item 7  
Pressure sensing bar with audible and visual alarm



### 5.0 Caution

It should be noted that:

- No one particular device or item of equipment will prevent overhead entrapment in all known circumstances when operating a AWP (See Section 2 above)
- In selecting a device or equipment to address a single hazard, consideration should be given to the potential for significantly increasing other possible hazards
- Some of the devices and equipment shown above can be fitted to existing machines, used independently or in conjunction with each other

### 6.0 Fitting of additional devices or equipment

Some of the devices and equipment shown are fitted to AWP's by the manufacturer during the assembly process and as such are an integral part of the original machine design and certification.

When fitting additional devices or equipment to existing machines, it is recommended that those intending to fit such devices should consult the manufacturer's 'platform' document, or AWP's.

Regarding operator training programs, IPAF supports manufacturers, rental companies and features to further improve the safe operation of AWP's, and update this document as necessary in order to reflect current sustained involuntary operation. Those with knowledge of relevant information should forward the information to IPAF at [info@ipaf.org](mailto:info@ipaf.org) or contact

ensure that a safe system of work has been devised to ensure that the system of work should be communicated to all persons involved in the work and potential rescue. Management, supervisors and operators should be aware of entrapment risks and carry out their duties safely.

Machine selection where an increased risk of sustained involuntary operation of controls and/or overhead entrapment has been identified may be obtained from both the manufacturer and Strategic Forum for Construction, Plant Safety Group guidance, the 'platform' which is freely available to download from the [www.sfgc.org](http://www.sfgc.org) or [www.sfgc.org](http://www.sfgc.org).

Guidance on AWP selection

Guidance on AWP selection where the risk of sustained involuntary operation of controls and/or overhead entrapment is identified

Page 2 of 4

Guidance on AWP selection where the risk of sustained involuntary operation of controls and/or overhead entrapment is identified

Page 3 of 4

Guidance on AWP selection where the risk of sustained involuntary operation of controls and/or overhead entrapment is identified

Page 4 of 4

# Conclusion



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- AWP's are safe by design - primary guarding systems
- AWP's do introduce specific hazards/risk
- Entrapment crushing is not the major cause of injury
- General and specific entrapment guidance
- No one single solution
- Involvement of everyone in the industry
- Secondary guarding alone is not the answer

# Thank you for listening



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